

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Xuzhi Qin Examiner: Unknown  
Serial No. 10/038,350 Group Art Unit: 1712  
Filed: November 20, 2001 Docket No. 589.117US1  
Title: PHOTOCROMIC NAPHTHOPYRAN COMPOUNDS:  
COMPOSITIONS AND ARTICLES CONTAINING THOSE  
NAPHTHOPYRAN COMPOUNDS

**MAIL STOP DD**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


**The following documents are being submitted:**

- ☒ Information Disclosure Statement (2 pages)
- ☒ Form 1449
- ☒ Copies of 2 references
- ☒ Copy of Search Report
- ☒ Transmittal Sheet
- ☒ Return postcard

**RECEIVED**  
MAY 06 2003  
TC 1700

**Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers if an additional extension of time is deemed necessary by the Office. Authorization is hereby given to charge Deposit Account Number 50-1391 if such additional extension is necessary.**

**MARK A. LITMAN & ASSOCIATES, P.A.**  
York Business Center, Suite 205, 3209 W. 76<sup>th</sup> St.  
Edina, MN 55435 (952-832-9090)

By:   
Atty: Mark A. Litman  
Reg. No. 26,390

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop DD, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on 1 May 2003.

Mark A. Litman  
Name

  
Signatur



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#6  
7/7/03  
TC

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(e)**

**MAIL STOP DD**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

MAY 08 2003

**TC 1700**

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is submitted before the mailing date of the first Office Action on the merits but before the mailing date of a final Office Action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. No fee is due since this statement is submitted as certified under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

**Certification Under 37 C.F.R. §1.97(e)**

In accordance with 37 C.F.R. §1.97(e)(1), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counter part foreign application not more than three months prior to the filing of the information disclosure statement.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is

not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §.1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1391.

Respectfully submitted,

XUZHI QIN

By His Representatives,

MARK A. LITMAN & ASSOCIATES, P.A.  
York Business Center, Suite 205  
3209 West 76<sup>th</sup> Street  
Edina, Minnesota 55435  
(952) 832-9090

Date: 1 May 2003

By:   
Mark A. Litman  
Reg. No. 26,390

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop DD, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on 1 May 2003

Mark A. Litman  
Name

  
Signature